

# City of Brisbane Agenda Report

TO: Honorable Mayor and City Council

FROM: Director of Public Works/City Engineer via City Manager

SUBJECT: Cooperative Agreement with Caltrans

DATE: February 22, 2011

**City Council Goals:**

To promote intergovernmental opportunities that enhances services and/or reduces cost of operations and services to city residents. (#10)

**Purpose:**

To obtain Council's approval of a resolution that will authorize the City Manager to enter into a Cooperative Agreement with Caltrans; this action is consistent with the value of creativity – this agreement is an innovative means of completing a necessary study.

**Recommendation:**

Staff recommends Council approve Resolution 2011-14.

**Background:**

The process for ultimately constructing any major improvement to the state highway system (SHS) requires compliance with Caltrans' policies and procedures in the *Guide to Capital Project Delivery Workplan Standards* and the *Project Development Procedures Manual*. One of the work products required in these guides is a Project Study Report (PSR), also generally referred to as a Project Initiation Document (PID).

In 1999, stakeholder agencies at the San Francisco/San Mateo county line recognized the need to study transportation issues that would affect citizens on both sides on the line, and subsequently entered into the Bi-County Memorandum of Agreement. Two of the projects addressed in this study (and its 2007 follow-on) are the Candlestick Interchange Improvement and Geneva Avenue Extension. Both projects were placed in the Regional Transportation Plan in 2003 so they would be eligible to compete for state and federal funding. Recognizing the long lead time associated with the advance planning for these projects, staff approached UPC and Lennar Homes in 2006, and ultimately obtained

\$611,610 from the developers to pay for a consultant's work to complete the PSR for these projects.

Because the Candlestick Interchange will become part of the SHS, Caltrans is required to provide oversight for compliance with its policies. The funds for Caltrans' staff to provide oversight and review have historically been provided from Caltrans' budget. In November 2010, Caltrans' budget for PID review was reduced by then Governor Schwarzenegger's line item veto authority.

With the PSR for Candlestick Interchange at nearly 80% submittal completion, city and Caltrans staff worked together to develop a "Cooperative Agreement" where Caltrans could continue oversight of the study as reimbursed work. The attached Agreement has been reviewed by staff and attorneys for both Caltrans and the city.

**Discussion:**

If the Council approves the recommended action, then the PSR work will re-commence and hopefully be complete within 6 months. If the Council disapproves the recommended action, then the project will most likely be shelved for an indeterminate amount of time, which could result in having to abandon the work that has been completed over the last two years and start at the beginning if/when the PSR is re-commenced.

**Fiscal Impact:**

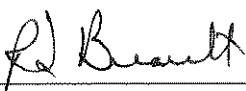
The estimated cost of Caltrans' oversight is \$110,000. Supervisor Tissier was instrumental in encouraging the San Mateo County Transportation Authority to consider funding one half of this work. On 2/3/11, the TA Board unanimously approved a resolution to provide \$55,000 towards this effort, with the condition that the San Francisco County Transportation Authority contribute the same amount. Supervisor Tissier is encouraging the SFCTA to provide this shared funding.

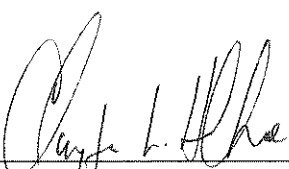
**Measure of Success**

A completed PSR that allows the project to continue to the next phase, and that creates a document that may be used to compete for state and federal funding.

**Attachments:**

- Resolution 2011-14
- DRAFT Cooperative Agreement for PID

  
\_\_\_\_\_  
Director of Public Works/City Engineer

  
\_\_\_\_\_  
City Manager

**RESOLUTION 2011-14**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF BRISBANE  
AUTHORIZING THE CITY MANAGER TO ENTER INTO A COOPERATIVE  
AGREEMENT WITH CALTRANS FOR A PROJECT INITIATION DCOCUMENT**

**WHEREAS**, the City of Brisbane (“City”) and the State of California’s Department of Transportation (“Caltrans”) are authorized to enter into a cooperative agreement for improvements within the state highway system right of way per Streets and Highway Code sections 114 and/or 130; and

**WHEREAS**, Government Code section 65086.5 authorizes Caltrans to prepare Project Initiation Documents as reimbursed work for studies sponsored by Local Agencies such as City; and

**WHEREAS**, City and Caltrans desire to enter into a Cooperative Agreement for PID to complete Caltrans’ oversight of the Route 101/Candlestick Point Interchange reconstruction project.

**NOW, THEREFORE, BE IT RESOLVED** that the City Council of the City of Brisbane hereby declares that the City Manager or his designee is authorized to enter a Cooperative Agreement with Caltrans for a Project Initiation Document.

---

Cyril G. Bologoff  
Mayor

I hereby certify that the foregoing Resolution 2011-14 was duly and regularly adopted at a regular meeting of the Brisbane City Council on February 22, 2011 by the following vote:

AYES:  
NOES:  
ABSENT:

---

Sheri Marie Spediacci  
City Clerk

DRAFT 1/25/11

**PID ONLY**  
**COOPERATIVE AGREEMENT**

This agreement, effective on \_\_\_\_\_, is between the State of California, acting through its Department of Transportation, referred to as CALTRANS, and:

The City of Brisbane, a body politic and municipal corporation of the State of California, referred to as CITY.

**RECITALS**

1. CALTRANS and CITY, collectively referred to as PARTNERS, are authorized to enter into a cooperative agreement for improvements within the SHS right of way per Streets and Highways Code sections 114 and/or 130.
2. Government Code section 65086.5 authorizes CALTRANS to (i) prepare PIDs for projects sponsored by Local Agencies or (ii) review and approve PIDs developed by others, as reimbursed work.
3. CALTRANS will implement the WORK commitments included in this agreement as it will not jeopardize the delivery of projects in the adopted state transportation improvement program of the state.
4. WORK completed under this agreement contributes toward reconstruction of the Route 101/Candlestick Point interchange in the counties of San Mateo and San Francisco, referred to as PROJECT.
5. PARTNERS will cooperate to complete PID.
6. There are no prior PROJECT-related cooperative agreements.
7. No PROJECT deliverables have been completed prior to this agreement.
8. The estimated date for COMPLETION OF WORK is June 10, 2011.
9. PARTNERS now define in this agreement the terms and conditions under which they will accomplish WORK.

**DEFINITIONS**

**CALTRANS STANDARDS** – CALTRANS policies and procedures, including, but not limited to, the guidance provided in the *Guide to Capital Project Delivery Workplan Standards* (previously known as WBS Guide) available at <http://dot.ca.gov>.

**COMPLETION OF WORK** – All PARTNERS have met all scope, cost, and schedule commitments included in this agreement and have signed a COOPERATIVE AGREEMENT CLOSURE STATEMENT.

**COOPERATIVE AGREEMENT CLOSURE STATEMENT** – A document signed by PARTNERS that verifies the completion of all scope, cost, and schedule commitments included in this agreement.

**FHWA** – Federal Highway Administration.

**FHWA STANDARDS** – FHWA regulations, policies and procedures, including, but not limited to, the guidance provided at <http://www.fhwa.dot.gov/programs.html>.

**FUNDING PARTNER** – A PARTNER who commits a defined dollar amount to WORK.

**FUNDING SUMMARY** – The table in which PARTNERS designate funding sources, types of funds, and the project components in which the funds are to be spent. Funds listed on the FUNDING SUMMARY are “not-to-exceed” amounts for each FUNDING PARTNER.

**HM-1** – Hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law whether it is disturbed by PROJECT or not.

**HM-2** – Hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law only if disturbed by PROJECT.

**HM MANAGEMENT ACTIVITIES** – Management activities related to either HM-1 or HM-2 including, without limitation, any necessary manifest requirements and disposal facility designations.

**IMPLEMENTING AGENCY** – The PARTNER responsible for managing the scope, cost, and schedule of a project component to ensure the completion of that component.

**IQA** – Independent Quality Assurance – Ensuring that IMPLEMENTING AGENCY’S quality assurance activities result in WORK being developed in accordance with the applicable standards and within an established Quality Management Plan. IQA does not include any work necessary to actually develop or deliver WORK or any validation by verifying or rechecking work performed by another PARTNER.

**PARTNERS** – The term that collectively references all of the signatory agencies to this agreement. This term only describes the relationship between these agencies to work together to achieve a mutually beneficial goal. It is not used in the traditional legal sense in which one PARTNER’s individual actions legally bind the other PARTNERS.

**PID (Project Initiation Document)** – The project component that includes the activities required to deliver the project initiation document for PROJECT.

**PROJECT MANAGEMENT PLAN** – A group of documents used to guide a project’s execution and control throughout the project’s lifecycle.

**SCOPE SUMMARY** – The table in which PARTNERS designate their commitment to specific scope activities within each project component as outlined by the *Guide to Capital Project Delivery Workplan Standards* (previously known as WBS Guide) available at <http://dot.ca.gov>.

**SHS** – State Highway System.

**SPONSOR(S)** – The PARTNER that accepts the obligation to secure financial resources to fully fund WORK. This includes any additional funds beyond those committed in this agreement necessary to complete the full scope of WORK defined in this agreement or settle claims.

**WORK** – All scope and cost commitments included in this agreement.

### **RESPONSIBILITIES**

10. CITY is SPONSOR for 100% of WORK.
11. CITY is a FUNDING PARTNER for this agreement. CITY’s funding commitment is defined in the FUNDING SUMMARY.
12. CITY is IMPLEMENTING AGENCY for PID.
13. CALTRANS will provide IQA for PID.

### **SCOPE**

#### **Scope: General**

14. All WORK will be performed in accordance with federal and California laws, regulations, and standards.

All WORK will be performed in accordance with FHWA STANDARDS and CALTRANS STANDARDS.

- 15.
16. CALTRANS will provide IQA for the portions of WORK within existing and proposed SHS right of way. CALTRANS retains the right to reject noncompliant WORK, protect public safety, preserve property rights, and ensure that all WORK is in the best interest of the SHS.

17. CITY may provide IQA for the portions of WORK outside existing and proposed SHS right of way.
18. PARTNERS may, at their own expense, have a representative observe any scope, cost, or schedule commitments performed by another PARTNER. Observation does not constitute authority over those commitments.
19. Each PARTNER will ensure that all of their personnel participating in WORK are appropriately qualified to perform the tasks assigned to them.

20.

21. PARTNERS will conform to sections 1720 – 1815 of the California Labor Code and all applicable regulations and coverage determinations issued by the Director of Industrial Relations if PROJECT work is done under contract (not completed by a PARTNER's own employees) and is governed by the Labor Code's definition of a "public work" (section 1720(a)(1)).

PARTNERS will include wage requirements in all contracts for "public work" and will require their contractors and consultants to include prevailing wage requirements in all agreement-funded subcontracts for "public work."

22. IMPLEMENTING AGENCY for each project component included in this agreement will be available to help resolve WORK-related problems generated by that component for the entire duration of PROJECT.

23. CALTRANS will issue, upon proper application, at no cost, the encroachment permits required for WORK within SHS right of way.

Contractors and/or agents, and utility owners will not perform WORK without an encroachment permit issued in their name.

24.

25. PARTNERS will hold all administrative draft and administrative final reports, studies, materials, and documentation relied upon, produced, created, or utilized for PROJECT in confidence to the extent permitted by law. Where applicable, the provisions of California Government Code section 6254.5(e) will govern the disclosure of such documents in the event that PARTNERS share said documents with each other.

PARTNERS will not distribute, release, or share said documents with anyone other than employees, agents, and consultants who require access to complete WORK without the written consent of the PARTNER authorized to release them, unless required or authorized to do so by law.

26. If any PARTNER receives a public records request, pertaining to WORK under this agreement, that PARTNER will notify PARTNERS within five (5) working days of receipt and make PARTNERS aware of any transferred public documents.
27. If HM-1 or HM-2 is found during WORK, IMPLEMENTING AGENCY for the project component during which it is found will immediately notify PARTNERS.
28. CALTRANS, independent of PROJECT, is responsible for any HM-1 found within existing SHS right of way. CALTRANS will undertake HM-1 MANAGEMENT ACTIVITIES with minimum impact to PROJECT schedule.
29. CITY, independent of PROJECT, is responsible for any HM-1 found within the PROJECT limits outside existing SHS right of way. CITY will undertake or cause to be undertaken HM-1 MANAGEMENT ACTIVITIES with minimum impacts to PROJECT schedule.
30. If HM-2 is found within PROJECT limits, the public agency responsible for the advertisement, award, and administration (AAA) of the PROJECT construction contract will be responsible for HM-2 MANAGEMENT ACTIVITIES.
31. CALTRANS' acquisition or acceptance of title to any property on which any HM-1 or HM-2 is found will proceed in accordance with CALTRANS' policy on such acquisition.
32. PARTNERS will comply with all of the commitments and conditions set forth in the environmental documentation, environmental permits, approvals, and applicable agreements as those commitments and conditions apply to each PARTNER's responsibilities in this agreement.
33. IMPLEMENTING AGENCY for each project component will furnish PARTNERS with written monthly progress reports during the implementation of WORK in that component.
- 34.
35. IMPLEMENTING AGENCY for a project component may accept, reject, compromise, settle, or litigate claims of any non-agreement parties hired to do WORK in that component.
36. PARTNERS will confer on any claim that may affect WORK or PARTNERS' liability or responsibility under this agreement in order to retain resolution possibilities for potential future claims. No PARTNER shall prejudice the rights of another PARTNER until after PARTNERS confer on claim.
37. PARTNERS will maintain and make available to each other all WORK-related documents, including financial data, during the term of this agreement and retain those records for four (4) years from the date of termination or COMPLETION OF WORK, or three (3) years from the date of final federal voucher, whichever is later.



38. PARTNERS have the right to audit each other in accordance with generally accepted governmental audit standards.

CALTRANS, the State auditor and CITY will have access to all WORK-related records of each PARTNER for audit, examination, excerpt, or transaction.

The examination of any records will take place in the offices and locations where said records are generated and/or stored and will be accomplished during reasonable hours of operation.

The audited PARTNER will review the preliminary audit, findings, and recommendations, and provide written comments within 60 calendar days of receipt.

Any audit dispute not resolved by PARTNERS is subject to dispute resolution. Any costs arising out of the dispute resolution process will be paid within 30 calendar days of the final audit or dispute resolution findings.

39. PARTNERS consent to service of process by mailing copies by registered or certified mail, postage prepaid. Such service becomes effective 30 calendar days after mailing. However, nothing in this agreement affects PARTNERS' rights to serve process in any other matter permitted by law.

40. PARTNERS will not incur costs beyond the funding commitments in this agreement. If IMPLEMENTING AGENCY anticipates that funding for WORK will be insufficient to complete WORK, SPONSOR(S) will seek out additional funds and PARTNERS will amend this agreement.

41. If WORK stops for any reason, PARTNERS are still obligated to implement all applicable commitments and conditions included in the PROJECT environmental documentation, permits, agreements, or approvals that are in effect at the time that WORK stops, as they apply to each PARTNER's responsibilities in this agreement, in order to keep PROJECT in environmental compliance until WORK resumes.

42. Each PARTNER accepts responsibility to complete the activities that they selected on the SCOPE SUMMARY. Activities marked with "N/A" on the SCOPE SUMMARY are not included in the scope of this agreement.

**Scope: Project Initiation Document (PID)**

43. CITY will identify the necessary resource agency permits, agreements, and/or approvals for PROJECT.

**COST**

**Cost: General**

44. SPONSOR(S) will secure funds for all WORK including any additional funds beyond the FUNDING PARTNERS' existing commitments in this agreement. Any change to the funding commitments outlined in this agreement requires an amendment to this agreement.
45. The cost of any awards, judgments, or settlements generated by WORK is a WORK cost.
46. CALTRANS, independent of PROJECT, will pay all costs for HM MANAGEMENT ACTIVITIES related to HM-1 found within existing SHS right of way.
47. CITY, independent of PROJECT, will pay, or cause to be paid, all costs for HM MANAGEMENT ACTIVITIES related to any HM-1 found within PROJECT limits and outside existing SHS right of way.
48. HM MANAGEMENT ACTIVITIES costs related to HM-2 are a PROJECT construction cost.
49. The cost of identifying the necessary resource agency permits, agreements, and/or approvals is a WORK cost.
50. CITY will reimburse CALTRANS for IQA efforts.
51. Independent of WORK costs, CITY will fund the cost of its own IQA for WORK done outside existing or proposed future SHS right of way.
52. Fines, interest, or penalties levied against any PARTNER will be paid, independent of WORK costs, by the PARTNER whose actions or lack of action caused the levy. That PARTNER will indemnify and defend all other PARTNERS.
- 53.
- 54.
55. FUNDING PARTNERS accept responsibility to provide the funds identified on the FUNDING SUMMARY.
56. PARTNERS will pay invoices within 30 calendar days of receipt of invoice.

57. SPONSOR(S) accepts responsibility to ensure full funding for the identified scope of work.

**Cost: Project Initiation Document (PID)**

58. Each FUNDING PARTNER will share the cost of PID by percent in the proportion documented in the FUNDING SUMMARY.
59. PARTNERS will exchange funds for actual costs.

CALTRANS will invoice CITY for a \$40,000 initial deposit within 30 working days prior to the preparation of PID. This deposit represents two (2) months' estimated CALTRANS IQA costs.

Thereafter, CALTRANS will submit to CITY monthly invoices for estimated monthly costs based on the prior month's actual expenditures.

After PARTNERS agree that all WORK is complete, CALTRANS will submit a final accounting for all WORK costs. Based on the final accounting, PARTNERS will refund or invoice as necessary in order to satisfy the financial commitments of this agreement.

**SCHEDULE**

60. PARTNERS will manage the schedule for WORK through the work plan delineated in the most recent project Schedule Summary.

**GENERAL CONDITIONS**

61. This agreement will be understood in accordance with and governed by the Constitution and laws of the State of California. This agreement will be enforceable in the State of California. Any legal action arising from this agreement will be filed and maintained in the Superior Court of the county in which the CALTRANS district office signatory to this agreement resides.
62. All obligations of CALTRANS under the terms of this agreement are subject to the appropriation of resources by the Legislature, the State Budget Act authority, and the allocation of funds by the California Transportation Commission.
63. Any PARTNER who performs IQA does so for its own benefit, further, that PARTNER cannot be assigned liability due to its IQA activities.

64. Neither CITY nor any officer or employee thereof is responsible for any injury, damage or liability occurring by reason of anything done or omitted to be done by CALTRANS and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CALTRANS or arising under this agreement.

It is understood and agreed that CALTRANS and/or its agents will fully defend, indemnify, and save harmless CITY and all of its officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories or assertions of liability occurring by reason of anything done or omitted to be done by CALTRANS and/or its agents under this agreement.

65. Neither CALTRANS nor any officer or employee thereof is responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CITY and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CITY or arising under this agreement.

It is understood and agreed that CITY and/or its agents will fully defend, indemnify, and save harmless CALTRANS and all of its officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories or assertions of liability occurring by reason of anything done or omitted to be done by CITY and/or its agents under this agreement.

66. This agreement is not intended to create a third party beneficiary or define duties, obligations, or rights in parties not signatory to this agreement. This agreement is not intended to affect the legal liability of PARTNERS by imposing any standard of care for completing WORK different from the standards imposed by law.
67. PARTNERS will not assign or attempt to assign agreement obligations to parties not signatory to this agreement.
68. Any ambiguity contained in this agreement will not be interpreted against PARTNERS. PARTNERS waive the provisions of California Civil Code section 1654.
69. A waiver of a PARTNER's performance under this agreement will not constitute a continuous waiver of any other provision. An amendment made to any article or section of this agreement does not constitute an amendment to or negate all other articles or sections of this agreement.
70. A delay or omission to exercise a right or power due to a default does not negate the use of that right or power in the future when deemed necessary.
71. If any PARTNER defaults in their agreement obligations, the non-defaulting PARTNER(S) will request in writing that the default be remedied within 30 calendar days.

If the defaulting PARTNER fails to do so, the non-defaulting PARTNER(S) may initiate dispute resolution.

72. PARTNERS will first attempt to resolve agreement disputes at the PROJECT team level. If they cannot resolve the dispute themselves, the CALTRANS district director and the executive officer of CITY will attempt to negotiate a resolution. If no resolution is reached, PARTNERS' legal counsel will initiate mediation. PARTNERS agree to participate in mediation in good faith and will share equally in its costs.

Neither the dispute nor the mediation process relieves PARTNERS from full and timely performance of WORK in accordance with the terms of this agreement. However, if any PARTNER stops WORK, the other PARTNER(S) may seek equitable relief to ensure that WORK continues.

Except for equitable relief, no PARTNER may file a civil complaint until after mediation, or 45 calendar days after filing the written mediation request, whichever occurs first.

Any civil complaints will be filed in the Superior Court of the county in which the CALTRANS district office signatory to this agreement resides. The prevailing PARTNER will be entitled to an award of all costs, fees, and expenses, including reasonable attorney fees as a result of litigating a dispute under this agreement or to enforce the provisions of this article including equitable relief.

73. PARTNERS maintain the ability to pursue alternative or additional dispute remedies if a previously selected remedy does not achieve resolution.
74. If any provisions in this agreement are deemed to be, or are in fact, illegal, inoperative, or unenforceable, those provisions do not render any or all other agreement provisions invalid, inoperative, or unenforceable, and those provisions will be automatically severed from this agreement.
75. This agreement is intended to be PARTNERS' final expression and supersedes all prior oral understanding or writings pertaining to WORK.
76. If during performance of WORK additional activities or environmental documentation is necessary to keep PROJECT in environmental compliance, PARTNERS will amend this agreement to include completion of those additional tasks.
77. PARTNERS will execute a formal written amendment if there are any changes to the commitments made in this agreement.
78. This agreement will terminate upon COMPLETION OF WORK or upon 30 calendar days' written notification to terminate and acceptance between PARTNERS, whichever occurs first.

However, all indemnification, document retention, audit, claims, legal challenge, and ownership articles will remain in effect until terminated or modified in writing by mutual agreement.

79. The following documents are attached to, and made an express part of this agreement:  
SCOPE SUMMARY, FUNDING SUMMARY.
80. Signatories may execute this agreement through individual signature pages provided that each signature is an original. This agreement is not fully executed until all original signatures are attached.

**CONTACT INFORMATION**

The information provided below indicates the primary contact data for each PARTNER to this agreement. PARTNERS will notify each other in writing of any personnel or location changes. These changes do not require an amendment to this agreement.

The primary agreement contact person for CALTRANS is:

Nandini Shridhar, Project Manager  
111 Grand Avenue  
Oakland, California 94612  
Office Phone: (510) 286-4892  
Email: nandini\_shridhar@dot.ca.gov

The primary agreement contact person for CITY is:

Randy Breault, City Engineer  
50 Park Place  
Brisbane, California 94005  
Office Phone: (415) 508-2131  
Email: rbreault@ci.brisbane.ca.org

**SIGNATURES**

PARTNERS declare that:

1. Each PARTNER is an authorized legal entity under California state law.
2. Each PARTNER has the authority to enter into this agreement.
3. The people signing this agreement have the authority to do so on behalf of their public agencies.

STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

CITY OF BRISBANE

By: \_\_\_\_\_  
Helena (Lenka) Culik-Caro  
Deputy District Director, Design

By: \_\_\_\_\_  
City Manager

CERTIFIED AS TO FUNDS:

APPROVED AS TO FORM AND  
PROCEDURE

By: \_\_\_\_\_  
Maureen Rehs  
District Budget Manager

By: \_\_\_\_\_  
Attorney



DRAFT 1/25/11

**SCOPE SUMMARY**

4	5	6	7	8	Description	CALTRANS	CITY	N/A
1	150				DEVELOP PROJECT INITIATION DOCUMENT	X	X	X
		05			TRANSPORTATION PROBLEM DEFINITION AND SITE ASSESSMENT		X	X
			05		REVIEW OF EXISTING REPORTS STUDIES AND MAPPING		X	
			10		GEOLOGICAL HAZARDS REVIEW			X
			15		UTILITY SEARCH		X	
			20		ENVIRONMENTAL CONSTRAINTS IDENTIFICATION		X	
			25		TRAFFIC FORECASTS/MODELING		X	
			30		SURVEYS AND MAPS FOR PID		X	X
			05		REGULAR SURVEYS AND MAPS FOR PID		X	
			10		ACCELERATED ENGINEERING SURVEYS			X
			35		PROBLEM DEFINITION		X	
			45		AS-BUILT CENTERLINE AND EXISTING RIGHT OF WAY		X	
			99		OTHER DEFINITION AND ASSESSMENT PRODUCTS			X
		10			INITIAL ALTERNATIVES DEVELOPMENT		X	X
			05		PUBLIC/LOCAL AGENCY INPUT		X	
			10		VALUE ANALYSIS			X
			15		CONCEPT ALTERNATIVES DEVELOPMENT		X	
			99		OTHER INITIAL ALTERNATIVE DEVELOPMENT PRODUCTS			X
		15			ALTERNATIVES ANALYSIS	X	X	X
			05		RIGHT OF WAY DATA SHEETS	X	X	
			10		UTILITY RELOCATION REQUIREMENTS ASSESSMENT		X	
			15		RAILROAD INVOLVEMENT DETERMINATION		X	
			20		DISTRICT PRELIMINARY GEOTECHNICAL REPORT (DPGR)			X
			25		PRELIMINARY MATERIALS REPORT			X
			30		STRUCTURES ADVANCE PLANNING STUDY		X	X
			05		STRUCTURES PRELIMINARY GEOTECHNICAL REPORT			X
			10		STRUCTURES PRELIMINARY HYDRAULICS REPORT			X
			15		STRUCTURES PRELIMINARY ARCHITECTURAL AND AESTHETICS REPORT			X
			20		STRUCTURES PRELIMINARY MAINTENANCE REPORT			X
			25		STRUCTURES PRELIMINARY CONSTRUCTION PLAN			X
			30		STRUCTURES ADVANCE PLANNING REPORT			X
			99		OTHER STRUCTURES ADVANCE PLANNING STUDY PRODUCTS			X
			35		MULTIMODAL REVIEW			X

4	5	6	7	8	Description	CALTRANS	CITY	N/A
			40		HYDRAULIC REVIEW		X	
			45		TRAFFIC CAPACITY ANALYSIS		X	
			50		TRAFFIC STUDIES		X	
			55		CONSTRUCTION ESTIMATES		X	
			60		PRELIMINARY TRANSPORTATION MANAGEMENT PLAN			X
			99		OTHER ALTERNATIVE ANALYSIS PRODUCTS			X
		20			PRELIMINARY ENVIRONMENTAL ANALYSIS REPORT		X	X
			05		INITIAL NOISE STUDY			X
			10		HAZARDOUS WASTE INITIAL SITE ASSESSMENT			X
			15		SCENIC RESOURCE AND LANDSCAPE ARCHITECTURE REVIEW			X
			20		INITIAL NEPA/404 COORDINATION			X
			25		INITIAL BIOLOGY STUDY			X
			30		INITIAL RECORDS AND LITERATURE SEARCH FOR CULTURAL RESOURCES			X
			40		INITIAL COMMUNITY IMPACT ANALYSIS LAND USE AND GROWTH STUDIES			X
			45		INITIAL AIR QUALITY STUDY			X
			50		INITIAL WATER QUALITY STUDIES			X
			55		INITIAL FLOODPLAIN STUDY			X
			60		PRELIMINARY ENVIRONMENTAL ANALYSIS REPORT PREPARATION		X	
			65		INITIAL PALEONTOLOGY STUDY			X
			70		INITIAL NATIVE AMERICAN COORDINATION			X
			99		OTHER PEAR PRODUCTS		X	
		25			APPROVED PID [PSR PSSR ETC.]	X	X	X
			05		DRAFT PID		X	
			10		APPROVED EXCEPTIONS TO DESIGN STANDARDS	X	X	
			15		APPROVED ACCESS MODIFICATION REQUEST			X
			20		PID CIRCULATION REVIEW AND APPROVAL	X	X	
			25		STORM WATER DATA REPORT		X	
			30		DRAFT PROJECT REPORT AS PART OF A PSSR/PR PROGRAMMING DOCUMENT	X	X	X
			05		COST ESTIMATES FOR ALTERNATIVES		X	
			10		FACT SHEET FOR EXCEPTIONS TO DESIGN STANDARDS	X	X	
			15		APPROVED EXCEPTIONS TO ENCROACHMENT POLICY			X
			20		DRAFT PROJECT REPORT AS PART OF A PSSR/PR PROGRAMMING DOCUMENT			X
			25		DRAFT PROJECT REPORT CIRCULATION REVIEW & APPROVAL			X
			99		OTHER PID PRODUCTS		X	
		35			REQUIRED PERMITS DURING PROJECT INITIATION DOCUMENTS DEVELOPMENT		X	
		40			PERMIT IDENTIFICATION DURING PROJECT INITIATION DOCUMENTS DEVELOPMENT		X	
		45			BASE MAPS AND PLAN SHEETS FOR PROJECT INITIATION DOCUMENTS		X	

DRAFT 1/25/11

**FUNDING SUMMARY**

Funding Source	Funding Partner	Fund Type	CALTRANS IOA	Subtotal Funds Type
LOCAL	CITY	Local Funds	\$110,000	\$110,000
		Subtotals by Component	\$110,000	\$110,000